



## Long Term Clinical Course of Hemodynamic Stable Pulmonary Embolism

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### Authors' contributions

This work was carried out in collaboration between all authors. Author BT designed the study. Author AB wrote the protocol and wrote the first draft of the manuscript. Authors AB and ER managed the literature searches. Authors BT and AB took care of the analyses of the materials. Author AB did the clinical follow-up while authors BT and ER supervised the study. All authors read and approved the final manuscript.

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### ABSTRACT

This study aimed to review the mortality rate of pulmonary embolism (PTE) during one year follow up, incidence of hemorrhagic complications and other causes of death. This prospective cohort study was conducted on 245 patients with confirmed diagnosis of pulmonary embolism divided in two groups of survived and died in a tertiary center hospital in Isfahan from Jan 2011 to March 2015. In addition to the use of the patients' records for evaluating some clinical variables, parameters and radiologic findings, interviews with patients were recorded in a check list. Patients were followed for at least one year after the occurrence of pulmonary embolism if death did not occur. Data were analyzed using SPSS software version 13. After at least one year follow-up, 145 cases (59.2%) were alive and 100 (40.8%) had died. Age older than 67 years, congestive heart failure, malignancy, trauma, anemia and the evidence of involvement of the pulmonary artery branches in CT angiography were independently associated to mortality ( $P < 0.05$ ). Also, the most common cause of death was cancer in 35% of the patients, while only in 3% it was PTE.

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## 1. INTRODUCTION

Pulmonary embolism refers to the occlusion of the pulmonary artery or one of its' branches due to thrombosis, air, fat or tumor which is originated from somewhere in the body [1]. Pulmonary embolism is a potentially fatal condition and figures as an important form of cardiovascular disease, together with acute myocardial infarction and cerebrovascular disease. Despite improvements in treatment of venous thromboembolism (VTE), especially pulmonary embolism (PTE), it is an important cause of mortality and morbidity in the western world [2].

In hemodynamically stable patients, the mortality rate is from 2 to 6% and it can be up to 30% in cases with hemodynamic instability or shock [3]. Unfortunately, 25% of patients die within the first year after diagnosis, but most of these deaths are more associated with underlying conditions such as cancer and chronic heart diseases than with pulmonary embolism [3,4].

As the patient survives from an episode of pulmonary embolism, several thrombotic and non-thrombotic conditions can interfere with his/her evolution. Bleeding and episodes of recurrent pulmonary embolism are common and chronic obstruction of pulmonary artery may lead to a chronic thromboembolic disease [4]. Long-term treatment with vitamin k antagonists is very effective in preventing pulmonary embolism but also increases the risk of major bleeding. Despite the risk of bleeding, the guidelines recommend that, due to the high risk of recurrence, a long period of anticoagulant therapy should be done in patients with idiopathic venous thromboembolism (VTE) [5]. In fact, even if we protect patients with VTE against a second episode of VTE during anticoagulant therapy, it is likely to have 3-6% of recurrence in the first 3-6 months [1,5].

In this study, we have reviewed the mortality rate of pulmonary embolism at one year follow up, the incidence of hemorrhagic complications and some other causes of death.

## 2. MATERIALS AND METHODS

### 2.1 Implementation Methods

This prospective cohort study was conducted in Al-Zahra hospital, which is the only tertiary

referral center of health care services in Isfahan, from January 2011 to March 2015. PTE diagnosis was performed by a hospital physician based on clinical criteria and on the basis of risk factors, clinical signs and symptoms and angiographic imaging techniques such as computed tomography (CT-Angiography) or ventilation-perfusion scans.

### 2.2 Collecting Data and Variables

In this study, clinical variables, parameters and radiologic findings were obtained using records of hospitalized patients. The data obtained from the records were complemented by interviews with patients either as face to face or by phone. Data were collected and registered in a check list. Clinical data including age, sex, hypertension, diabetes, smoking (currently), history of ischemic heart disease, history of stroke and risk factors for embolism were recorded for provoked or unprovoked episodes, acute bleeding during treatment and cause of death. Vital signs were recorded at each visit. Patients with unstable hemodynamic conditions or shock (systolic blood pressure less than 90 mm Hg) and patients who had died within the first 24 hours were excluded from the study.

Provoked pulmonary embolism is defined as those episodes associated with risk factors, like active or treated cancer, immobility (due to neurological complications, lower extremity fractures, major surgery or hospitalization in ICU) pregnancy after the first week or oral contraception with estrogen during the past three months.

### 2.3 Treatment Course

Patients were treated with low molecular weight heparin and warfarin or by inferior vena cava (IVC) filters.

As previously mentioned, at the time of referring, patients with shock were treated with thrombolytic therapy or surgery and were excluded from the study. At discharge, in case of the absence of contra-indications, anticoagulant therapy was continued for at least three to six months and INR (International Normalization ratio) was set between 2 and 3. Also, in the case of absolute contraindications to anticoagulant therapy (warfarin) inferior vena cava filter was applied instead.

## 2.4 Follow Up

The main outcomes of interest were in-hospital mortality, aggravation of the patient's condition during hospitalization and length of hospitalization. Patients were followed after discharge in the outpatient clinic and they were informed that in case of severe symptoms, such as dyspnea they should proceed to the emergency room. In case of absence to the clinic appointment, patients were contacted by phone. Patients were followed for at least one year after the occurrence of pulmonary embolism or until death.

## 2.5 Statistical Analysis

Results were expressed as means  $\pm$  standard deviations for normal distributions. Variables were compared according to the main outcome, i.e., death or survival. Comparisons were also made regarding the categories of unprovoked or provoked pulmonary embolism. Categorical and continuous variables were compared by Chi-Square and "Student" t test, respectively. Kaplan-Meier statistic was used to measure the mortality and the recurrence rates of patients of both groups. Contributing factors to the patients' prognosis were identified using univariate and multivariate Cox-proportional hazard models. In univariate analysis, variables with p-value  $<0.1$  were entered in the model of multivariate analysis. The p-value of  $<0.05$  was considered significant. Analyses of all cases were conducted using SPSS software version 13(SPSS, Inc, Chicago, IL, USA).

## 3. RESULTS

From January 2013 to March 2015, 245 patients with confirmed diagnosis of pulmonary embolism and stable hemodynamic status referred to Al-Zahra hospital as a tertiary referral center were included to follow-up.

The mean age of the patients was  $57.73 \pm 18.21$  years. One-hundred and thirty-nine cases (56.7%) were males and 106 (43.3%) were females. After at least one year follow-up, 145 cases (59.2%) were alive and 100 (40.8%) individuals had died.

Demographic characteristics of the alive and the dead showed that the mean age of the dead patients was significantly higher than the one for alive patients (OR (95% CI): 1.056(1.037-1.075), p-value $<0.0001$ ). When plotted in a ROC curve, an age higher than 67 had 60.82% sensitivity

and 79.02% specificity for the prediction of an episode of pulmonary embolism. It has been shown that also the history of diseases such as chronic heart failure (CHF), chronic obstructive pulmonary disease (COPD), cancer and trauma were significantly associated to mortality (p-value $<0.05$ ).

Characteristics of the ward, patients' house location and gender had no significant role in death (P value $>0.05$ ). On the other hand, neither unprovoked nor provoked pulmonary embolism was statistically associated to the death (Table 1). After at least one year follow-up, 145 (59.2%) patients were alive and 100 (40.8%) had died.

The prevalence of recognized risk factors for PTE were: recent surgery - 35.2%, obesity - 30%, immobility - 13.5%, previous history of deep venous thrombosis (DVT) / PTE - 20.3% and active malignancy - 21%. Among the comorbidities, hypertension was observed in 30%, chronic lung disease in 24%, ischemic heart disease in 19.6%, diabetes in 14.7%, heart failure in 12.7% and neurologic disorders in 6.5%.

The most common symptoms at referring time were shortness of breath in 73%, chest pain in 56%, cough in 43% and palpitations in 27%. The most common clinical signs found at the clinical examination were tachycardia in 75% and tachypnea in 49%.

The location of pulmonary embolism identified by CT was central pulmonary artery in 26% of the cases, segmental arteries in 57%, sub-segmental arteries in 6% and multiple in 11% of the cases. Echocardiographic findings were available in 94% of the patients, revealing right ventricular (RV) dysfunction (9%), increased tricuspid regurgitation (21%) and RV dilatation and RV hyperkinesia (14%).

Table 2 presents the comparison between demographic characteristics and risk factors related to the patient survival outcome.

Older patients had a higher mortality rate than others ( $66.64 \pm 14$  Vs.  $51.6 \pm 18$ ; OR= 1.05; 95% CI:1.037-1.075; p-value $<0.0001$ ). Using the ROC curve, the best cut-off for the prediction of death was the age of 67 years, with a sensitivity of 60.82% and a specificity of 79.02%. There was no sex predominance regarding mortality.

The prediction of mortality according the multiple regression analysis, was favored by the age higher than 67 years (Beta: 2.02, p= 0.003),

congestive heart failure (Beta: 1.79, p = 0.048), malignancy (Beta: 2.74, p= 0.003), trauma, (Beta: 2.52, p= 0.018) and a CT angiography revealing embolism of both lungs (Beta: 1.71, p= 0.035) (comparing the embolism in both lungs and in the left or the right side alone). These variables were independently able to predict mortality (Table 3) The values described in Table 4 were used to calculate the score of each patient. Among the low risk patients, mortality risk was 32%, but, as showed in Table 3, it was 36.8% and 69% in the patients at moderate and high risk, respectively. (Fig. 1).

On the other hand chronic lung disease (p = 0.061.), chronic kidney disease (p = 0.84.), chronic liver disease (p = 0.08.) or neurological diseases were not effective in the patients' mortality prediction.

### 3.1 Mortality

During follow-up, surviving patients were followed by a median of 730 days (interquartile range – IR: 455-1155), while patients who died were followed by a median of 28 days (IR: 20-30). A significant difference was found between the two groups in the mean duration of life (p value<0.001). The analysis of Kaplan-Meier survival between the two groups showed that 54% of dead patients and 53.1% of alive patients had provoked embolism and that patients with predisposing factors had lower life expectancy [HR: 1.01(0.68 - 1.49)]. As a consequence, the Hazard Ratio in patients with predisposing factors was slightly higher than the one in the patients without these factors, despite having not achieved significant difference when tested by the Log-rank test (p-value=0.965) (Fig. 2).

**Table 1. Demographic and clinical characteristics**

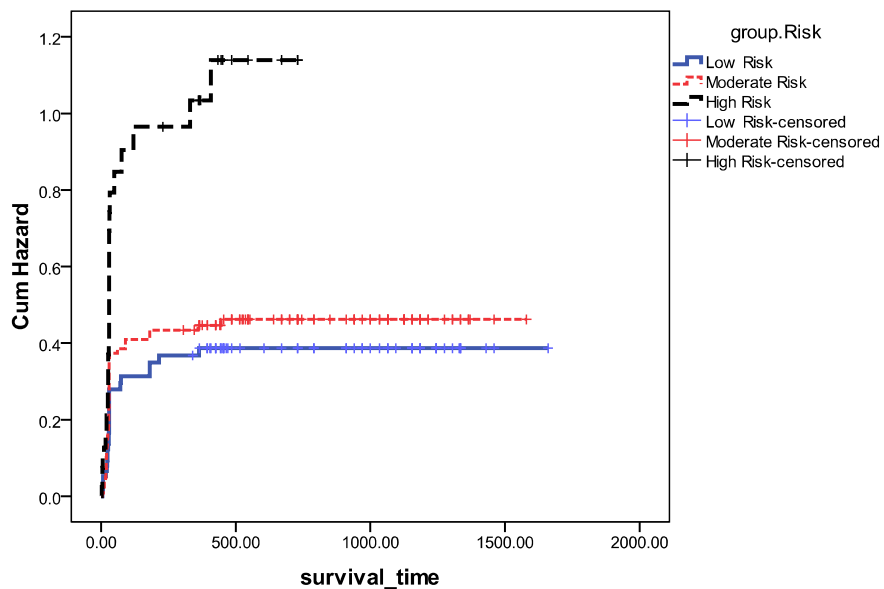
Variable	n	Percentage	Variable	n	Percentage
Age> 65 years	98	40%	<b>CTANGIO results</b>		
Male gender	139	56.7%	<b>1) Diagnosis PE</b>		
<b>Previous disease</b>			- Both Lung Emboli	128	52.2%
IHD	48	19.6%	- LT Lung Emboli	44	18%
<b>CHF</b>	31	12.7%	- RL Lung Emboli	66	26.9%
<b>COPD</b>	56	24.1%	<b>2) Site of emboli</b>		
<b>HTN</b>	75	30.6%	- Main pulmonary artery	65	26.5%
<b>CKD</b>	10	4.1%	- Segmental branch	127	51.8%
<b>DM</b>	36	14.7%	- Sub segmental	17	6.9%
HLP	30	12.2%	- Combined sites	27	11%
Trauma	37	15.1%	Provoked	138	56.3%
<b>Surgery</b>	71	29%	<b>Clinical course</b>		
<b>Bed rest</b>	33	13.5%	Fatal PTE	3	1.2%
OCP/HRT	1	0.4%	Non-Fatal PTE	6	2.4%
<b>Paralysis</b>	23	9.4%	Non-Fatal DVT	2	0.8%
CVA	16	6.5%	<b>Bleed severe</b>		
Anemia	7	2.9%	Fatal bleeding	3	1.2%
<b>Previous DVT/PTE</b>	40	16.3%	Major bleeding	15	6.1%
			Clinical relevant bleeding	13	5.3%
			<b>Cause of death(n=100)</b>		
			Cancer	35	35%
			Sepsis	19	19%
			Heart or respiratory failure	28	28%
			Arterial cardiovascular complication	8	8%
			PTE	3	3%
			Bleeding	7	7%

Definition of abbreviations: Previous disease: IHD= ischemic heart disease, CHF= Congestive Heart Failure, COPD= Chronic Obstructive Pulmonary Disease, HTN= Hypertension, CKD= Chronic Kidney Disease, DM= Diabetes Mellitus, HLP= hyperlipidemia, OCP= Oral Contraceptive Pills, HRT= Hormone Replacement Therapy, CVA= Cerebrovascular Accident; Thrombus type: PTE= Pulmonary Thromboembolism, DVT= Deep Vein Thrombosis, VTE= Venous Thromboembolism; Provoked: Including at least OCP/HRT or CVA or Cancer or Trauma or Surgery or Bed rest or Paralysis

**Table 2. Comparison between some demographic characteristics and risk factors based on the patients' survival**

Factors	Alive(n=145)	Died(n=100)	OR(95% CI)	P value
<b>Age(year)</b>	51.66±18.27	66.64±14.05	1.056(1.037-1.075)	<0.0001
<b>Sex</b>				
Male	88(61%)	51(51%)	1.483(0.887-2.481)	0.132
Female	57(39%)	49(49%)		
<b>Ward</b>				
General and pulmonary	94(65.3%)	58(58%)	1.065(0.875-1.296)	0.198
Emergency	1(0.7%)	4(4%)		
Surgery	15(10.4%)	15(15%)		
ICU	34(23.6%)	23(23%)		
<b>Concomitant disease</b>				
IHD	26(17.9%)	22(22%)	1.291(0.684-2.437)	0.430
CHF	9(6.2%)	22(22%)	4.262(1.870-9.716)	<0.0001
COPD	26(17.9%)	33(33%)	2.254(1.244-4.086)	0.007
HTN	39(26.9%)	36(36%)	1.529(0.883-2.647)	0.129
CKD	4(2.8%)	6(6%)	2.250(0.618-8.188)	0.208
DM	17(11.7%)	19(19%)	1.766(0.867-3.596)	0.114
HLP	16(11%)	14(14%)	1.313(0.609-2.827)	0.484
Cancer	15(10.3%)	37(37%)	5.090(2.602-9.958)	<0.0001
Trauma	28(19.3%)	9(9%)	0.413(0.186-0.919)	0.027
Surgery	49(33.8%)	22(22%)	0.553(0.308-0.992)	0.046
Bed-ridden	23(15.9%)	10(10%)	0.589(0.267-1.300)	0.187
Using OCP/HRT	1(0.7%)	0(0%)	-	0.450
Paralysis or paresis	14(9.7%)	9(9%)	0.925(0.384-2.229)	0.863
CVA	10(6.9%)	6(6%)	0.862(0.303-2.452)	0.780
Anemia	5(3.4%)	2(2%)	0.571(0.109-3.005)	0.504
Previous DVT/PTE	25(17.2%)	15(15%)	0.847(0.422-1.702)	0.641

**Hazard Function**

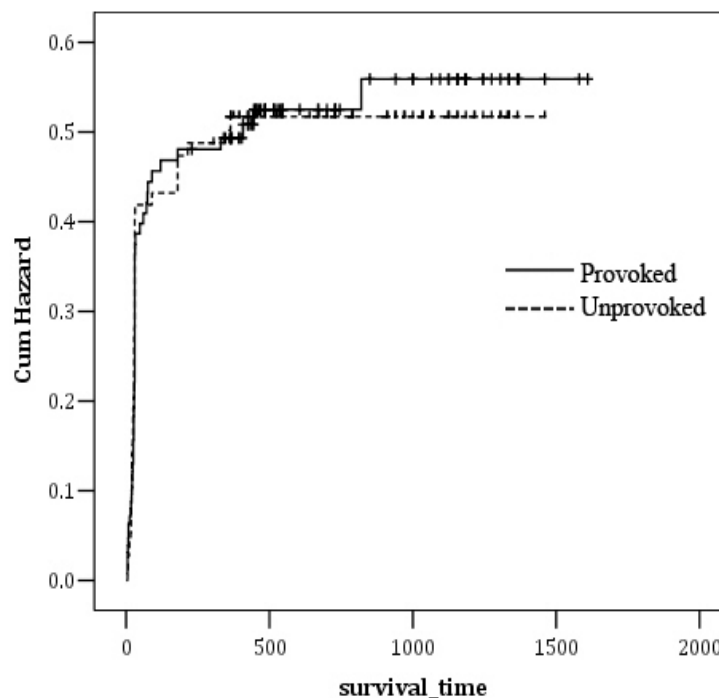


**Fig. 1. Surviving diagram of the patients in three groups based on calculation model**

**Table 3. Multivariate logistic regression analysis**

Variables	B	S.E.	OR (95% CI)	P value	Prediction score
Age> 67 years	2.02	0.69	7.57(1.97-29.03)	0.003	7
CHF	1.79	0.94	6.01(0.94-38.28)	0.048	3
Cancer	2.74	0.94	15.53(2.48-97.36)	0.003	8
Trauma	2.52	1.06	0.08 (0.010-0.64)	0.018	6
CTANGIO*	1.38	0.47	0.25(0.10-0.63)	0.003	8

\*: Both Lungs Emboli V.S. Left or Right Lung Emboli



**Fig. 2. Chart cumulative Hazard ratio according to the etiology of pulmonary embolism in patients**

**Table 4. Death risk prediction model**

Death risk stratification	Points	Mortality
Low risk	0 to 6	32.1%
Moderate risk	7 to 13	36.8%
High risk	14 or higher	69%

The presence of hemorrhage among the survived patients was reported only in 18 cases (12.4%). The sites of bleeding were the gastrointestinal tract in 44.4% and epistaxis in 22.2%. Among the deceased patients, it was reported only in 13 cases (13%), being gastrointestinal in 61.5% and muscular in 15.4% (p-value>0.05).

The most common causes of death were cancer (35%), followed by cardiac or pulmonary failure (28%) and sepsis (10%). Only 3% of all deaths

were related to recurrent pulmonary embolism (Table 1).

#### 4. DISCUSSION

This prospective study was performed in a tertiary referral center in the provincial capital of Isfahan, one important center of referrals in the center of Iran. Mortality rate in both groups of provoked and unprovoked PTE was much higher than the average mortality rates reported in other countries. In this study, after one-year follow-up, it was revealed that about 47% of patients had died after pulmonary embolism. In other studies, this value has been around 25 to 27%. [6,7,8] However, death due to pulmonary embolism itself was identified for only 3% of all cases, which is lower than in other casuistic (5.2% in ref. 3]. Therefore, it seems that a large

percentage of deaths in our study were due to causes such as cancer (35%) (whether as an identified cause of the embolism or as a diagnosis after the pulmonary embolism). In this study, we could not determine the different types of cancer, but, in a study conducted by UHM-JS et al. [9], the most common types of cancer related to embolic episodes were: lung cancer (33%), cancers of liver and bile ducts system (14%), colorectal cancer (8%) and hematological cancer (8%). In the same study, the rate of mortality by cancer in patients with pulmonary embolism was about 20% (95% CI, 7.5-37) (3).

Although a clear relation has not been mentioned in different studies so far between arterial cardiovascular diseases and venous thromboembolism, an association of these two diseases is suggested in several epidemiological studies [10]. In a study by KLOK (3), the rate of death from cardiovascular causes of the arterial system was about 14% (95% CI, 7.0-24). As mentioned above, the mortality by the same cause in this study was much higher (about 28%). Consequently, it would be desirable more detailed studies on the relationship between the pathogenesis of thrombosis in the arterial and in the venous system to have better information about their resulting mortality.

In the present study, like in other studies [11,12, 13], the mortality rate of pulmonary embolism was higher in provoked than in unprovoked episodes (3). In all patients with pulmonary embolism, the risk of death was higher than the mortality rate of the general population, i.e, adjusted hazard ratio (aHR) = 2.9 (95% CI: 2.1-3.8) for provoked and HR = 1.4 (95% CI: 1.1-1.8) for unprovoked. However, in some epidemiological studies of patients with pulmonary embolism, the death due to cardiovascular diseases of the arterial system in provoked PTE was similar to that in unprovoked PTE [9,14,5]. The reason for such a discrepancy is the higher death rate of cancer in provoked PTE, even those cancer cases diagnosed after the diagnosis of PTE itself (3,16).

The one-year mortality rate was about 47%, while it was about 50% for a 4-year period in other studies [1,16].

## 5. CONCLUSION

Due to the high mortality rate at the one year follow-up among the hemodynamically stable PTE patients, multifunctional measures are

necessary in collaboration with other medical teams during hospitalization and after the treatment to reduce the factors affecting death.

## CONSENT AND ETHICAL APPROVAL

This study protocol and all research tools including the patients informed consent was confirmed by ethical committee of our Isfahan University of Medical sciences.

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## COMPETING INTERESTS

Authors have declared that no competing interests exist.

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